

Electronic Acknowledgement Receipt

EFS ID:	5323402
Application Number:	10697907
International Application Number:	
Confirmation Number:	9741
Title of Invention:	Method and apparatus for creating and evaluating strategies
First Named Inventor/Applicant Name:	Scott Malcolm Caplan
Customer Number:	76615
Filer:	Carl A. Kukkonen/Teri Barnett
Filer Authorized By:	Carl A. Kukkonen
Attorney Docket Number:	35006-556F01US
Receipt Date:	12-MAY-2009
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Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$1110
RAM confirmation Number	5160
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Authorized User	KUKKONEN,CARL

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		556F01UReply.pdf	669364 daef9a01d22ebca21b2091da6d1c91b1b13060c0b	yes	8
Multipart Description/PDF files in .zip description					
	Document Description		Start		End
	Amendment/Req. Reconsideration-After Non-Final Reject		1		1
	Specification		2		2
	Claims		3		5
	Applicant Arguments/Remarks Made in an Amendment		6		8

Warnings:**Information:**

2	Fee Worksheet (PTO-875)	fee-info.pdf	30015 b0dabae81d90b1444d8f0666a7a6a21f612d18070	no	2
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Warnings:**Information:**

Total Files Size (in bytes):	699379
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.